

Compliance Confirmation Form

1. I have reviewed the financial statements and all related records of

(Holder Name)

and I certify that _____

(Holder Name)

(Choose one option below)

a. Does not have unclaimed property to report to the Financial and Consumer Services Commission's Unclaimed Property Program pursuant to the *Unclaimed Property Act* of New Brunswick for Report Years 2022 or 2023, which includes property that became unclaimed between 2017 and 2023. Because the *Unclaimed Property Act* of New Brunswick does not require a "nil" or \$0 report, a report was not submitted.

b. Identified and reported unclaimed property to the Financial and Consumer Services Commission's Unclaimed Property Program pursuant to the *Unclaimed Property Act* of New Brunswick for Report Years 2022 and 2023 (which includes property that became unclaimed between 2017 and 2023). Enter the tracking number for each report submitted, separated by commas:

c. Identified and reported unclaimed property to the Financial and Consumer Services Commission's Unclaimed Property Program pursuant to the *Unclaimed Property Act* of New Brunswick for Report Years 2022 and 2023 (which includes property that became unclaimed between 2017 and 2023) but has identified additional property for these years that was not reported.

i. Enter the tracking number for each report submitted, separated by commas:

ii. A Late Delivery Application has been submitted for unclaimed property not previously reported. Enter the tracking number for the Late Delivery Application submitted:

d. Has unclaimed property to report to the Financial and Consumer Services Commission's Unclaimed Property Program pursuant to the *Unclaimed Property Act* of New Brunswick for Report Years 2022 and 2023 (which includes property that became unclaimed between 2017 and 2023). A Late Delivery Application has been submitted. Enter the tracking number for the Late Delivery Application:

2. _____
(Holder Name)

currently has policies and procedures in place for compliance with the *Unclaimed Property Act*:

a. Yes (if yes, provide a copy of the policies and procedures)

b. No

I, _____ ,
(Corporate officer's name)

state that I have caused to be prepared and have examined this Compliance Confirmation Form and enclosures, if any, that I am duly authorized to execute this verification by the Holder and by law, and that to the best of my information and belief, said Compliance Confirmation Form and enclosures, if any, are true, correct, and complete.

Corporate Officer's Signature

Corporate Officer's Title

As per section 34 of the *Unclaimed Property Act*, no person shall knowingly make a false or misleading statement, either orally or in writing, to a compliance officer while the compliance officer is engaged in carrying out the compliance officer's duties under the Act or the regulations.

Please complete and return the Form and any requested attachments to the Commission's Unclaimed Property Division via email to FundsFinderNB@fcnb.ca, or mail a copy of it to:

Financial and Consumer Services Commission
Unclaimed Property Division
300-85 Charlotte Street
Saint John, NB E2L 2J2
Canada